

## United States Patent and Trademark Office



UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/954,515

09/17/2001

Hyung-Chul Choi

M0023/7000

**CONFIRMATION NO. 9063** 

022832 KIRKPATRICK & LOCKHART LLP 75 STATE STREET BOSTON, MA 02109-1808

**COPY OF PAPERS ORIGINALLY FILED**  **FORMALITIES LETTER** \*OC000000007059354\*

Date Mailed: 11/13/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The balance due by applicant is \$ 840.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/20/2002 CVD111 00000033 501721 09954515

01 FC:105 02 FC:101

30.00 CH

130.00 BP 710.00 OP







Sector # #3

COPY OF PAPERS

ORIGINALLY FILED

PATENT ATTORNEY'S DOCKET NO.: M0023/7000D

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Choi et al.

SERIAL NO.:

09/954,515

FILED:

September 17, 2001

FOR:

PROCESS FOR FORMING ELECTRODES

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, DC 20231 on January

14, 2002.

BOX MISSING PARTS

COMMISSIONER FOR PATENTS

WASHINGTON, DC 20231

Sir:

Transmitted herewith for filing are the following documents:

[XX] Response

[XX] Copy of Notice to File Missing Parts (PTO Form 1533)

[XX] Check for Missing Filing Fee totaling \$840.00

[XX] Fee Transmittal

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (617) 261-3100, Boston, Massachusetts.

If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 50-1721. A duplicate of this sheet is enclosed.

Respectfully submitted,

Jeffrey L. Snow

Reg. No.: 39,037

KIRKPATRICK & LOCKHART, LLP

75 State Street

Boston, Massachusetts 02109-1808

Customer No.: 022832 Tel.: (617) 261-3100

ATTORNEY DOCKET NO.: M0023/7000D

**DATE: January 14, 2002** 

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# **FEE TRANSMITTAL** for FY 2002

er the Paperwork Reduction Act of 1995, no persons are required to re

Patent fees are subject to annual revision.

TOTAL	AMOUNT	OF P	AYMENT

840.0	0
	840.0

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Co	omplete if Known	)	1
Application Number	09/954,515		
Filing Date	09/17/2001		
First Named Inventor	Choi et al.	COPY OF PA	<u>.</u>
Examiner Name		COPY OF PA ORIGINALLY	FILE
Group Art Unit			
Attorney Docket No.	M0023/7000D		,

METHOD OF PAYMENT	FEE CALCULATION (continued)				
1. The Commissioner is hereby authorized to charge indicated fees and credit any overnayments to:	3. ADDITIONAL FEES				
Denosit	Large Small				
Account Number 50-1721	Entity Entity  Fee Fee Fee Fee Fee Description F	ee Paid			
	Fee Fee Fee Fee Fee Description F				
Deposit Account Name Kirkpatrick & Lockhart LLP	105 130 205 65 Surcharge - late filing fee or oath	130.00			
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet				
Applicant claims small entity status.	139 130 139 130 Non-English specification				
See 37 CFR 1.27	147 2,520 147 2,520 For filing a request for ex parte reexamination				
2. Payment Enclosed:	112 920* 112 920* Requesting publication of SIR prior to				
Check Credit card Money Other Other	Examiner action				
FEE CALCULATION	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action				
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month				
Large Entity Small Entity	116 400 216 200 Extension for reply within second month				
Fee Fee Fee Fee Description	117 920 217 460 Extension for reply within third month				
Code (\$) Code (\$) Fee Paid  101 740 201 370 Utility filing fee 710.00	118 1,440 218 720 Extension for reply within fourth month				
106 330 206 165 Design filing fee	128 1,960 228 980 Extension for reply within fifth month				
107 510 207 255 Plant filing fee	119 320 219 160 Notice of Appeal				
108 740 208 370 Reissue filing fee	120 320 220 160 Filing a brief in support of an appeal				
114 160 214 80 Provisional filing fee	121 280 221 140 Request for oral hearing				
	138 1,510 138 1,510 Petition to institute a public use proceeding				
SUBTOTAL (1) (\$) 710.00	140 110 240 55 Petition to revive - unavoidable				
2. EXTRA CLAIM FEES	141 1,280 241 640 Petition to revive - unintentional				
Fee from Ext <u>ra Claims below Fee Paid</u>	142 1,280 242 640 Utility issue fee (or reissue)				
Total Claims20** = X =	143 460 243 230 Design issue fee				
Independent Claims - 3** = X = X	144 620 244 310 Plant issue fee				
Multiple Dependent	122 130 122 130 Petitions to the Commissioner				
	123 50 123 50 Processing fee under 37 CFR 1.17(q)				
Large Entity Small Entity Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt				
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)				
102 84 202 42 Independent claims in excess of 3	146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))	j			
104 280 204 140 Multiple dependent claim, if not paid  109 84 209 42 ** Reissue independent claims over original patent	149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))				
110 18 210 9 ** Reissue claims in excess of 20	179 740 279 370 Request for Continued Examination (RCE)				
and over original patent	169 900 169 900 Request for expedited examination of a design application				
SUBTOTAL (2) (\$)	Other fee (specify)				
**or number previously paid, if greater; For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 130.00					

SUBMITTED BY		Complete (if applicable)		
Vame (Print/Type)	Jeffrey L. Snow	Registration No. 39,037 (Attorney/Agent)	Telephon	e 617-261-3100
Signature	Jefry L. Suce	J	Date	Facor 14, 201
Signature	gry S. Suce	<u> </u>	Date	<del></del>

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



PATENT ATTORNEY'S DOCKET NO.: M0023/7000D

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14, 2002

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, DC 20231

### **RESPONSE**

Sir:

In response to the Notice to File Missing Parts of Divisional Application--Filing Date Granted dated September 17, 2001, enclosed is the filing fee.

The requisite fee of \$840.00 and a copy of the notice are enclosed.

Respectfully submitted,

Jeffrey L Snow

Registration No. 39,037

KIRKPATRICK & LOCKHART, LLP

75 State Street

Boston, Massachusetts 02109-1808

Tel: 617-261-3100 Customer No.: 022832 Attorneys for Applicants

Docket No.: M0023/7000D Date: January 14, 2002